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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 505 Ref3.

Application Number	10/705,325	
Filing Date	November 10, 2003	
First Named Inventor	Hideki Kuwajima, et al.	
Art Unit	2652	
Examiner Name	To Be Assigned	
Attorney Docket No.	YAO-4337US4	

ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached		ving(s) nsing-related Papers		After Allowance Communication to Group		
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Petit Prov Pow Char Addr Term	ion ion to Convert to a isional Application er of Attorney, Revocation, nge of Correspondence	1	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  -1449 & 5 References rn Receipt Postcard		
SIGNATURI	E OF APPLI	CANT, ATTORNEY OR AG	ENT			
Firm or Individual Name Signature  Daniel N. Calder  Registration No. (Attorney/Agent)  27,424  27,424						
Date February 20, 2004						
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:						
Typed or printed name Vickie Jones						
Signature Ullus	nes		Date	February 20, 2004		

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/705,325

Applicant: Filed:

Hideki Kuwajima, et al. November 10, 2003

Title:

HEAD SUPPORT MECHANISM AND THIN FILM PIEZOELECTRIC ACTUATOR

TC/A.U.:

2652

Examiner:

To Be Assigned

Conf. No.:

5061

#### **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the listed reference is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of an English language search report, indicating the degree of relevance determined by the foreign patent office, is submitted herewith. MPEP Section 609A(3), second paragraph.

References WO 99/01901 and WO 98/19304 are not in the English language, but an English translation thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424

Attorney for Applicants

DNC/vj

Enclosures: PTO Form 1449

(5) References Transmittal Form

Dated: February 20, 2004

☑ P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

☐ P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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February 20, 2004

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Substitute for French 1449A/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known		
	Application Number	10/705,325	
	Filing Date	November 10, 2003	
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Į	Art Unit	2652	
	Examiner Name	To Be Assigned	
1	Attorney Docket No.	YAO-4337US4	

	U.S. PATENT DOCUMENTS						
		Document Number		-	Pages, Columns, Lines, Where		
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Name of Patentee or Publication Date Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	(MM-DD-YYYY)	Document	Figures Appear	Т	
		WO 98/19304 A1	05-07-1998	TDK Corp.	Entire Document		
		WO 98/27547 A	06-25-1998	Seagate Technology, Inc.	Entire Document		
		WO 99/01901 A1	01-14-1999	Kabushiki Kaisha Tokai Rika Denki Seisakusho	Entire Document		
		EP 591885 B1	01-28-1998	Matsushita Electric Industrial Co., Ltd.	Entire Document		
	<u> </u>						

Examiner	Date	
	Date	
Signature	Considered	
	Considered	

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>\*</sup>Annotion of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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(AW 08/03)

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known		
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Art Unit	2652		
Examiner Name	To Be Assigned		
Attorney Docket No.	YAO-4337US4		

	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
		Australian Search Report dated October 31, 2003 (SG 200100498-5).			
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Examiner		Date			

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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